

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO

UNITED STATES OF AMERICA,

Plaintiff

v.

CRIMINAL 07-0488 (ADC)

[26] ELIEZER CARRIÓN-MALDONADO,

Defendant

MAGISTRATE JUDGE'S REPORT AND RECOMMENDATION
RE: RULE 11(c)(1)(A) & (B) PROCEEDINGS (PLEA OF GUILTY)

I. Personal Background

On November 14, 2007, Eliezer Carrión-Maldonado, the defendant herein, was charged in a twelve-count indictment. He agrees to plead guilty to counts one and seven of the indictment.

Count one charges that the defendant did knowingly and intentionally combine, conspire and agree with codefendants and other persons known and unknown to the Grand Jury, to commit offenses against the United States, that is, to possess with intent to distribute one kilogram or more of heroin, a Schedule I Controlled Substance; 50 grams or more of cocaine base (hereinafter referred to as crack cocaine), a Schedule II Controlled Substance; five kilograms or more of cocaine, a Schedule II Controlled Substance; five kilograms or more of cocaine, a Schedule II Controlled Substance, detectable amounts of marihuana, a Schedule I, Controlled Substance, within 1,000 feet of the real property comprising a housing facility owned by a public housing authority, that is, Nuestra Señora de Covadonga Public Housing Project; or of a public school, that is, the Headstart Program located

1 CRIMINAL 07-0488 (ADC)

2

3
4 within Nuestra Señora de Covadonga Public Housing Project, all in violation of 21
5 U.S.C. §§ 846, 841(a)(1), (b)(1)(C), (b)(2) and 860.

6 Count seven charges defendant, and other defendants, of aiding and abetting
7 each other, did knowingly possess firearms and ammunition during and in relation
8 to a drug trafficking crime, as charged in counts one through five of the indictment,
9 which may be prosecuted in a court of the United States. All in violation of 18 U.S.C.
10 § 9249c)(1)(A)(I) and 2.

11 II. Consent to Proceed Before a Magistrate Judge

12 On January 14, 2009, while assisted by José Novas-Debién, Esq., the
13 defendant, by consent, appeared before me in order to change his previous not guilty
14 plea to a plea of guilty as to counts one and seven of the indictment.

15 In open court the defendant was questioned as to the purpose of the hearing
16 being held. The defendant responded that the purpose of the hearing was to plead
17 guilty. The defendant was advised of his right to have all proceedings, including the
18 change of plea hearing, before a United States district judge. Defendant was given
19 notice of: (a) the nature and purpose of the hearing; (b) the fact that all inquiries
20 were to be conducted under oath and that it was expected that his answers would
21 be truthful (he was also explained that the consequences of lying under oath could
22 lead to a perjury charge); and (c) his right to have the change of plea proceedings
23 presided over by a district judge instead of a magistrate judge. The defendant was
24 also explained the differences between the appointment and functions of the two.
25 The defendant consent to proceed before this magistrate judge.

26 III. Proceedings Under Rule 11, Federal Rules of Criminal Procedure

27 A. Compliance With Requirements Rule 11(c)(1)

28

CRIMINAL 07-0488 (ADC)

3

Rule 11 of the Federal Rules of Criminal Procedure governs the acceptance of guilty pleas to federal criminal violations. Pursuant to Rule 11, in order for a plea of guilty to constitute a valid waiver of the defendant's right to trial, guilty pleas must be knowing and voluntary: "Rule 11 was intended to ensure that a defendant who pleads guilty does so with an 'understanding of the nature of the charge and consequences of his plea.'" United States v. Cotal-Crespo, 47 F.3d 1, 4 (1st Cir. 1995) (quoting McCarthy v. United States, 394 U.S. 459, 467 (1969)). [There are three core concerns in these proceedings]: 1) absence of coercion; 2) understanding of the charges; and 3) knowledge of the consequences of the guilty plea. United States v. Cotal-Crespo, 47 F.3d at 4 (citing United States v. Allard, 926 F.2d 1237, 1244-45 (1st Cir. 1991)).

United States v. Hernández-Wilson, 186 F.3d 1, 5 (1st Cir. 1999).

The penalty for the offense charged in count one of the indictment are a term of imprisonment of not less than 10 years and up to life imprisonment; and a fine not to exceed \$4,000,000, and a term of supervised release of at least 10 years, all pursuant to 21 U.S.C. §§ 841(b)(1)(A) and 860.

In response to further questioning, defendant was explained and he understood that if convicted on count one, based on the stipulated and agreed amount of narcotics possessed by the defendant, that is, at least 500 grams but less than two kilograms cocaine, he may be sentenced to a term of imprisonment of not less than five years and not more than 80 years, a fine not to exceed \$4,000,000, and a term of supervised release of at least eight years, all pursuant to 21 U.S.C. §§ 841(b)(1)(B) and 860.

The penalty for the offense charged in count seven of the indictment is a term of imprisonment of not less than five years and up to life imprisonment, to be served consecutive from any other count of conviction, a fine not to exceed \$250,000, and a term of supervised release of up to five years, all in violation of 18 U.S.C. § 924(c)(1)(A)(i).

1 CRIMINAL 07-0488 (ADC)

4

2
3
4 Defendant shall pay a special monetary assessment of \$100, per offense of
5 conviction, pursuant to 18 U.S.C. § 3013.

6 The defendant is aware the court may order him to pay a fine sufficient to
7 reimburse the government for the costs of any imprisonment, probation or
8 supervised release ordered and also the court may impose restitution. Defendant
9 further agrees to provide financial statements as requested by the United States.

10 Defendant was advised that the ultimate sentence was a matter solely for the
11 court to decide in its discretion and that, even if the maximum imprisonment term
12 and fine were to be imposed upon him, he later could not withdraw his guilty plea
13 if he was unhappy with the sentence of the court. The defendant understood this.

14 Defendant was explained what the supervised release term means. It was
15 emphasized that cooperation with the United States Probation officer would assist
16 the court in reaching a fair sentence.

17 Emphasis was made on the fact that at this stage, no prediction or promises
18 as to the sentence to be imposed could be made by anyone. Defendant responded to
19 questions in that no promises, threats, inducements or predictions as to what
20 sentence will be imposed have been made to him.

21 B. Admonishment of Constitutional Rights

22 To assure defendant's understanding and awareness of his rights, defendant
23 was advised of his right:

24 1. To remain silent at trial and be presumed innocent, since it is the
25 government who has the burden of proving his guilt beyond a reasonable doubt.

26 2. To testify or not to testify at trial, and that no comment could be made by
27 the prosecution in relation to his decision not to testify.
28

1 CRIMINAL 07-0488 (ADC)

5

2
3
4 3. To a speedy trial before a district judge and a jury, at which he would be
5 entitled to see and cross examine the government witnesses, present evidence on his
6 behalf, and challenge the government's evidence.

7 4. To have a unanimous verdict rendered by a jury of twelve persons which
8 would have to be convinced of defendant's guilt beyond a reasonable doubt by means
9 of competent evidence.

10 5. To use the subpoena power of the court to compel the attendance of
11 witnesses.

12 Upon listening to the defendant's responses, observing his demeanor and his
13 speaking with his attorney, that to the best of counsel's belief defendant had fully
14 understood his rights, it is determined that defendant is aware of his constitutional
15 rights.

16 C. Consequences of Pleading Guilty

17 Upon advising defendant of his constitutional rights, he was further advised
18 of the consequences of pleading guilty. Specifically, defendant was advised that by
19 pleading guilty and upon having his guilty plea accepted by the court, he will be
20 giving up the above rights and would be convicted solely on his statement that he
21 is guilty.

22 Furthermore, the defendant was admonished of the fact that by pleading
23 guilty he would not be allowed later on to withdraw his plea because he eventually
24 might disagree with the sentence imposed, and that when he were under supervised
25 release, and upon violating the conditions of such release, that privilege could be
26 revoked and he could be required to serve an additional term of imprisonment. He
27 was also explained that parole has been abolished.

28

1 CRIMINAL 07-0488 (ADC)

6

2
3
4 D. Plea Agreement

5 The parties have entered into a written plea agreement that, upon being
6 signed by the government, defense attorney and defendant, was filed and made part
7 of the record. Defendant was clearly warned and recognized having understood
8 that:

9 1. The plea agreement is not binding upon the sentencing court.

10 2. The plea agreement is an "agreement" between the defendant, defense
11 attorney and the attorney for the government which is presented as a
12 recommendation to the court in regards to the applicable sentencing adjustments
13 and guidelines, which are advisory.

14 3. The agreement provides a sentencing recommendation and/or anticipated
15 sentencing guideline computation, that can be either accepted or rejected by the
16 sentencing court.

17 4. In spite of the plea agreement and any sentencing recommendation
18 contained therein, the sentencing court retains full discretion to reject such plea
19 agreement and impose any sentence up to the possible maximum penalty prescribed
20 by statute.

21 5. The defendant understands that if the court accepts this agreement and
22 sentences defendant according to its terms and conditions, defendant waives and
23 surrenders his right to appeal the conviction and sentence in this case.

24 E. Government's Evidence (Basis in Fact)

25 The government presented a proffer of its evidence with which the defendant
26 basically concurred.

27 Accordingly, it is determined that there is a basis in fact and evidence to
28 establish all elements of the offense charged.

1 CRIMINAL 07-0488 (ADC)

7

2
3
4 F. Voluntariness

5 The defendant accepted that no leniency had been promised, no threats had
6 been made to induce him to plead guilty and that he did not feel pressured to plead
7 guilty. He came to the hearing for the purpose of pleading guilty and listened
8 attentively as the prosecutor outlined the facts which it would prove if the case had
9 proceeded to trial.

10 IV. Conclusion

11 The defendant, by consent, has appeared before me pursuant to Rule 11,
12 Federal Rules of Criminal Procedure, and has entered a plea of guilty as to counts
13 one and seven of the indictment.

14 After cautioning and examining the defendant under oath and in open court,
15 concerning each of the subject matters mentioned in Rule 11, as described in the
16 preceding sections, I find that the defendant Eliezer Carrión-Maldonado, is
17 competent to enter this guilty plea, is aware of the nature of the offense charged and
18 the maximum statutory penalties that the same carries, understands that the charge
19 is supported by the government's evidence, has admitted to every element of the
20 offense charged, and has done so in an intelligent and voluntary manner with full
21 knowledge of the consequences of his guilty plea.

22 Therefore, I recommend that the court accept the guilty plea of the defendant
23 and that the defendant be adjudged guilty as to counts one and seven of the
24 indictment. At sentencing, the United States agrees to recommend a dismissal of the
25 remaining counts against the defendant.

26 This report and recommendation is filed pursuant to 28 U.S.C. § 636(b)(1)(B)
27 and Rule 72(d) of the Local Rules of Court. Any objections to the same must be
28 specific and must be filed with the Clerk of Court within five (5) days of its receipt.

1 CRIMINAL 07-0488 (ADC)

8

2
3
4 Rule 510.1, Local Rules of Court; Fed. R. Civ. P. 72(b). Failure to timely file specific
5 objections to the report and recommendation is a waiver of the right to review by
6 the district court. United States v. Valencia-Copete, 792 F.2d 4 (1st Cir. 1986).

7 At San Juan, Puerto Rico, this 15th day of January, 2009.

8
9 S/ JUSTO ARENAS
Chief United States Magistrate Judge
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28